

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

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In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (SCC)  
Debtors. : (Jointly Administered)  
-----  
x Ref. Docket No. 45500, 45680-45682

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 19, 2014, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
3<sup>rd</sup> day of September, 2014

/s/ Sidney J. Garabato  
Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2018

**EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CREDIT SUISSE  
ATTN: ALLEN GAGE  
1 MADISON AVE  
NEW YORK NY 10010

CREDIT SUISSE  
CRAVATH, SWAINE & MOORE LLP  
ATTN: RICHARD LEVIN  
WORLDWIDE PLAZA  
825 EIGHTH AVENUE  
NEW YORK NY 10019

Please note that your claim # 5255829-35 in the above referenced case and in the amount of  
\$0.00 allowed at \$53,391.84 has been transferred (unless previously expunged by court order)

UBS AG  
TRANSFEROR: CREDIT SUISSE  
BAHNHOFSTRASSE 45  
ZURICH CH-8001  
SWITZERLAND

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 45500 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 08/19/2014

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 19, 2014.

**EXHIBIT B**

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

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## Name

CREDIT SUISSE	ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010
CREDIT SUISSE	CRAVATH, SWAIN & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019
CREDIT SUISSE	RICHARD LEVIN, ESQ., CRAVATH, SWAIN & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019
EYAT FAMILY IRREVOCABLE TRUST	SUITE #125 CORAL GABLES FL 33146
FRANZ, ROBERT	TRANSFEROR: IRA FBO ROBERT FRANZ PERSHING LLC AS CUSTODIAN 1500 SAN REMO AVENUE, SUITE #125 CORAL GABLES FL 33146
IRA FBO ROBERT FRANZ PERSHING LLC AS CUSTODIAN	TRANSFEROR: IRA FBO ROBERT FRANZ PERSHING LLC AS CUSTODIAN 1500 SAN REMO AVENUE, SUITE #125 CORAL GABLES FL 33146
UBS AG	TRANSFEROR: IRA FBO ROBERT FRANZ PERSHING LLC AS CUSTODIAN 1500 SAN REMO AVENUE, SUITE #125 CORAL GABLES FL 33146
WEINSTEIN LIVING TRUST	TRANSFEROR: IRA FBO ROBERT FRANZ PERSHING LLC AS CUSTODIAN 1500 SAN REMO AVENUE, SUITE #125 CORAL GABLES FL 33146
WEINSTEIN LIVING TRUST	TRANSFEROR: IRA FBO ROBERT FRANZ PERSHING LLC AS CUSTODIAN 1500 SAN REMO AVENUE, SUITE #125 CORAL GABLES FL 33146

## Address

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